

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

**In re** : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (SCC)  
Debtors. : (Jointly Administered)  
Ref. Docket Nos. 50954, 51002,  
51003, 51055, 51067, 51117, 51154,  
51215, 51231

## **AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                        )  
                        ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
  2. On November 11, 2015, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
  3. All envelopes utilized in the service of the foregoing contained the following legend: “LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Lauren Rodriguez

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Lauren Rodriguez

Sworn to before me this  
16<sup>th</sup> day of November, 2015

/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 20

## **EXHIBIT A**

Epiq Bankruptcy Solutions, LLC

PO BOX 4470

Beaverton, OR 97076-4470

Address Service Requested

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Legal Documents Enclosed

Please direct to the attention

of the Addressee,

Legal Department or President

LBH TRFNTC (MERGE2, TXNUM2) 4000143246

To: BAR(23) MAILID \*\*\* 000099971357 \*\*\*



CVF LUX FINCO, LLC  
TRANSFEROR: CVF LUX MASTER SARL  
C/O CARVAL INVESTORS, LLC  
ATTN: TERI SALBERG  
9320 EXCELSIOR BLVD, 7TH FLOOR  
HOPKINS, MN 55343



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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000099971357 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000143246



CVF LUX FINCO, LLC  
TRANSFEROR: CVF LUX MASTER SARL  
C/O CARVAL INVESTORS, LLC  
ATTN: TERI SALBERG  
9320 EXCELSIOR BLVD, 7TH FLOOR  
HOPKINS, MN 55343

Please note that your claim # 28161 in the above referenced case and in the amount of \$2,772,294.88 has been transferred (unless previously expunged by court order)

CVF LUX SECURITIES TRADING S.A.R.L.  
TRANSFEROR: CVF LUX FINCO, LLC  
C/O CARVAL INVESTORS LLC  
ATTN: TERI SALBERG  
9320 EXCELSIOR BOULEVARD  
HOPKINS, MN 55343

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 51002 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/11/2015

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 11, 2015.

## **EXHIBIT B**

| Claim Name  | Address Information   |
|---|---|
| BANCA POPOLARE DI MILANO SOCIETA COOPERATIVE A.R.L. | ATTN: MARIA TERESA GUERRA, LEGAL DEPT, PIAZZA F. MEDA, 4, MILAN 20121 ITALY   |
| BANK JULIUS BAER & CO. AG                           | TRANSFEROR: HSBC PRIVATE BANK SUISSE SA, ATTN: PATRIK ROOS, BAHNHOFSTRASSE 36, ZURICH CH-8010 SWITZERLAND   |
| CVF LUX FINCO, LLC                                  | TRANSFEROR: CVF LUX MASTER SARL, C/O CARVAL INVESTORS, LLC, ATTN: TERI SALBERG, 9320 EXCELSIOR BLVD, 7TH FLOOR, HOPKINS, MN 55343                         |
| CVF LUX FINCO, LLC                                  | TRANSFEROR: CVF LUX MASTER SARL, C/O CARVAL INVESTORS, LLC, ATTN: TERI SALBERG, 9320 EXCELSIOR BLVD, 7TH FLOOR, HOPKINS, MN 55343                         |
| CVF LUX FINCO, LLC                                  | TRANSFEROR: CVF LUX MASTER SARL, C/O CARVAL INVESTORS, LLC, ATTN: TERI SALBERG, 9320 EXCELSIOR BLVD, 7TH FLOOR, HOPKINS, MN 55343                         |
| CVF LUX SECURITIES TRADING S.A.R.L.                 | TRANSFEROR: CVF LUX FINCO, LLC, C/O CARVAL INVESTORS LLC, ATTN: TERI SALBERG, 9320 EXCELSIOR BOULEVARD, HOPKINS, MN 55343                                 |
| CVF LUX SECURITIES TRADING S.A.R.L.                 | TRANSFEROR: CVF LUX FINCO, LLC, C/O CARVAL INVESTORS LLC, ATTN: TERI SALBERG, 9320 EXCELSIOR BOULEVARD, HOPKINS, MN 55343                                 |
| CVF LUX SECURITIES TRADING S.A.R.L.                 | TRANSFEROR: CVF LUX FINCO, LLC, C/O CARVAL INVESTORS LLC, ATTN: TERI SALBERG, 9320 EXCELSIOR BOULEVARD, HOPKINS, MN 55343                                 |
| GOLDMAN SACHS LENDING PARTNERS LLC                  | TRANSFEROR: OZ SPECIAL MASTER FUND, LTD., ATTN: MICHELLE LATZONI, 30 HUDSON STREET, 38TH FLOOR, JERSEY CITY, NJ 07302                                     |
| GOLDMAN SACHS LENDING PARTNERS LLC                  | TRANSFEROR: LUXOR CAPITAL PARTNERS LP, ATTN: MICHELLE LATZONI, C/O GOLDMAN SACHS & CO., 30 HUDSON STREET, 5TH FLOOR, JERSEY CITY, NJ 07302                |
| HSBC PRIVATE BANK SUISSE SA                         | ATTN: JACQUES DUROUENOZ, SECURITIES DEPARTMENT MANAGER, QUAI GENERAL-GUISAN 2, GENEVA 3 1211 SWITZERLAND  |
| HSBC PRIVATE BANK SUISSE SA                         | ATTN: JACQUES DUROUENOZ, SECURITIES DEPARTMENT MANAGER, QUAI GENERAL-GUISAN 2, GENEVA 3 1211 SWITZERLAND  |
| HSBC PRIVATE BANK SUISSE SA                         | ATTN: JACQUES DUROUENOZ, SECURITIES DEPARTMENT MANAGER, QUAI GENERAL-GUISAN 2, GENEVA 3 1211 SWITZERLAND  |
| HSBC PRIVATE BANK SUISSE SA                         | ATTN: JACQUES DUROUENOZ, SECURITIES DEPARTMENT MANAGER, QUAI GENERAL-GUISAN 2, GENEVA 3 1211 SWITZERLAND  |
| LLSM L.P.   | TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., ATTN: BRIAN WOOD, 555 5TH AVE., 18TH FLOOR, NEW YORK, NY 10017   |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | TRANSFEROR: LLSM L.P., ATTN: JOHN RAGUSA, 1585 BROADWAY - 2ND FLOOR, NEW YORK, NY 10036   |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | CHAPMAN AND CUTLER LP, ATTN: MARINA ZELINSKY, ESQ., 1270 AVENUE OF THE AMERICAS, 30TH FLOOR, NEW YORK, NY 10020-1708                                      |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | TRANSFEROR: HHLF L.P., ATTN: JOHN RAGUSA, 1585 BROADWAY - 2ND FLOOR, NEW YORK, NY 10036   |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | CHAPMAN AND CUTLER LP, ATTN: MARINA ZELINSKY, ESQ., 1270 AVENUE OF THE AMERICAS, 30TH FLOOR, NEW YORK, NY 10020-1708                                      |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | TRANSFEROR: HHLF L.P., ATTN: JOHN RAGUSA, 1585 BROADWAY - 2ND FLOOR, NEW YORK, NY 10036   |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | CHAPMAN AND CUTLER LLP, ATTN: MARINA ZELINSKY, ESQ., 1270 AVENUE OF THE AMERICAS, 30TH FLOOR, NEW YORK, NY 10020-1708                                     |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | TRANSFEROR: OAK HILL CREDIT ALPHA FUND (OFFSHORE), LTD., ATTN: JOHN RAGUSA, 1585 BROADWAY, 2ND FLOOR, NEW YORK, NY 10036                                  |
| MORGAN STANLEY SENIOR FUNDING, INC.                 | RICHARDS, KIBBE & ORBE LLP, ATTN: MANAGING CLERK (BANKRUPTCY), ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281   |
| OAK HILL CREDIT ALPHA FUND (OFFSHORE), LTD.         | ATTN: SCOTT KRASE, DIRECTOR, WALKER HOUSE, 87 MARY STREET, GEORGE TOWN KY1-9002 CAYMAN ISLANDS  |
| OAK HILL CREDIT ALPHA FUND (OFFSHORE), LTD.         | C/O OAK HILL ADVSIORS, L.P., ATTN: GREGORY S. RUBIN, 1114 AVENUE OF THE AMERICAS, 27TH FLOOR, NEW YORK, NY 10036  |
| OZ SPECIAL MASTER FUND, LTD.                        | TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE, C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP, ATTN: CINDY KWOK, 9 WEST 57TH STREET, 13TH FLOOR, NEW YORK, NY 10019 |
| STONEHILL INSTITUTIONAL PARTNERS, LP                | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC, C/O STONEHILL CAPITAL   |

**Claim Name****Address Information**

|                                      |   |
|--------------------------------------|---|
| STONEHILL INSTITUTIONAL PARTNERS, LP | MANAGEMENT LLC, ATTN: OPS DEPARTMENT, 885 THIRD AVENUE, 30TH FLOOR, NEW YORK, NY 10022  |
| UNION BANCAIRE PRIVEE, UBP SA        | TRANSFEROR: HSBC PRIVATE BANK SUISSE SA, RUE DU RHONE 96-98, PO BOX 1320, GENEVA 1 CH-1211 SWITZERLAND                                |
| UNIONE DI BANCHE ITALIANE S.C.P.A.   | TRANSFEROR: BANCA POPOLARE DI MILANO SOCIETA COOPERATIVE A.R.L., ATTN: ANDREA ANCIDEI, VIA CAVRIANA, 20, MILANO 20134 ITALY           |
| VARDE INVESTMENT PARTNERS, LP        | TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., ATTN: EDWINA PJ STEFFER, 8500 NORMANDALE LAKE BLVD., STE 1500, MINNEAPOLIS, MN 55437 |
| VARDE INVESTMENT PARTNERS, LP        | TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., ATTN: EDWINA PJ STEFFER, 8500 NORMANDALE LAKE BLVD., STE 1500, MINNEAPOLIS, MN 55437 |

|                                |
|--------------------------------|
| <b>Total Creditor Count 31</b> |
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